

Problem: how to transfer data reliably over an unreliable channel?

$$X \rightarrow \text{unreliable channel} \rightarrow Y$$

$R := \frac{k}{n}$  data rate (speed)

Channel coding theorem:  $R \leq C$ :  $C = \max_{p(x)} I(X, Y) = \max_{p(x)} H(Y) - H(Y|X)$

How to calculate  $C$ ?

Characterization of the channel:

$$X \in \{x_1, x_2, \dots, x_N\}, Y \in \{y_1, \dots, y_M\}$$

$$P_{ij} = P(Y=y_j | X=x_i) \rightarrow P_{yx} = P(Y=y | X=x)$$

$$\sum_y P_{yx} = 1 \quad \forall x$$

"Symmetric channel"

The column vectors of  $P$  are permutations of each other!

$$\begin{pmatrix} 0.1 & 0.2 & 0.7 \\ 0.2 & 0.1 & 0.2 \\ 0.7 & 0.7 & 0.1 \end{pmatrix} \quad P \neq P^T \leftarrow \text{that would be a symmetric matrix}$$

$$\sum_y P_{yx} \log \frac{1}{P_{yx}} = \sum_y P(Y=y | X=x) \cdot \log \frac{1}{P(Y=y | X=x)} = H(x)$$

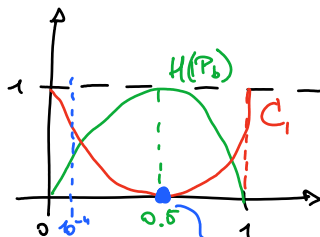
$$C = \max_{p(x)} H(Y) - H(Y|X) = \sum_x p(x) \sum_y p(y|x) \log \frac{1}{p(y|x)} = \left( \sum_x p(x) \right) H(x)$$

Application - channel capacity of BSC (Binary stochastic channel?)

$$P = \begin{pmatrix} P_{00} & P_{01} \\ P_{10} & P_{11} \end{pmatrix} = \begin{pmatrix} 1-P_b & P_b \\ P_b & 1-P_b \end{pmatrix} \leftarrow \text{symmetric (in usual and strong sense as well)}$$

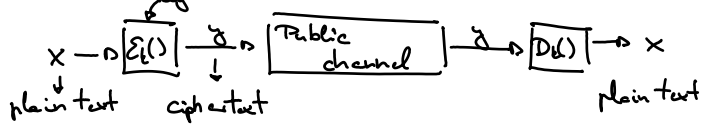
$\uparrow$  probability of transmitting  
 $\uparrow$  and receiving matrix  
 $P_b = P(Y \neq X)$

$$C = \log 2 - (1-P_b) \log \frac{1}{1-P_b} - P_b \log \frac{1}{P_b} = 1 - H(P_b)$$



that is a realistic channel  
 there is no information transfer possible at that point

# Cryptography: attacker (without key)



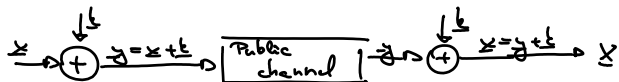
Construct:  $E_k(x) = y$ ?

$\rightarrow D_k(y) = x \Rightarrow$  easy if key is known, and "almost impossible" without the key.  
 Almost impossible: Attacker does not have enough computation capacity that can decode ciphertext within the time when message is valid

## Type of attacks:

- $\rightarrow$  active: destroy the information by destroying channel  $\Rightarrow$  that is not our concern
- $\rightarrow$  passive: get the information out of ciphertext
  - ciphertext attacks:  $y_k: k = 1 \dots K$
  - plain-ciphertext attacks:  $(x_k, y_k): k = 1 \dots K$
  - known plain-ciphertext attacks:  $(x_k, y_k): k = 1 \dots K$

## One Time Pad (OTP) - perfect encryption



$$P(Y=y | X=x) = P(Y=x+k | X=x) = P(k=y-x) = \frac{1}{2^N}$$

$$P(Y=y) = \sum_x P(Y=y | X=x) P(X=x) = \frac{1}{2^N} \sum_x P(X=x) = \frac{1}{2^N} \sum_x P(X=x) = \frac{1}{2^N} \Rightarrow$$

$\Rightarrow P(Y) = P(Y|X) \rightarrow X$  and  $Y$  are statistically independent

## Commutative encryption:

$$E_{k_2}(E_{k_1}(x)) = E_{k_1}(E_{k_2}(x))$$

1)  $y_a = E_{k_1}(x) \rightarrow$  transmission

2)  $y_b = E_{k_2}(y_a) = E_{k_2}(E_{k_1}(x)) \rightarrow$  transmission

3)  $D_{k_2}(y_b) = D_{k_2}(E_{k_2}(E_{k_1}(x))) = D_{k_2}(E_{k_2}(E_{k_1}(x))) = E_{k_1}(x) = y_c \rightarrow$  transmission

4)  $D_{k_1}(y_c) = D_{k_1}(E_{k_1}(x)) = x$